



07, 29, 05

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PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 990

Complete if Known

| | |
|----------------------|-----------------|
| Application Number | 09/897,429 |
| Filing Date | July 3, 2001 |
| First Named Inventor | Robert J. Hales |
| Examiner Name | Proctor, J.S. |
| Art Unit | 2123 |
| Attorney Docket No. | H0630-0003-P003 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 50-3117 Deposit Account Name: Bergman Kuta LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |
| Total Claims | | |
| - 20 or HP = _____ x _____ = _____ | | |
| HP = highest number of total claims paid for, if greater than 20. | | |
| Indep. Claims | | |
| - 3 or HP = <u>3</u> x <u>100</u> = <u>300</u> | | |
| HP = highest number of independent claims paid for, if greater than 3. | | |

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets _____ Extra Sheets _____ Number of each additional 50 or fraction thereof _____ Fee (\$) _____ Fee Paid (\$) _____
- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount) Fees Paid (\$)
Other (e.g., late filing surcharge): 3 mo. extension of time \$510; Submission of IDS \$180 690

SUBMITTED BY

| | | | |
|-------------------|--------------------|--|------------------------|
| Signature | <i>[Signature]</i> | Registration No. (Attorney/Agent) 42,318 | Telephone 617-868-8480 |
| Name (Print/Type) | Michael Bergman | | Date 27 JUL 2005 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Please type a plus sign inside this box



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|-----------------|
| Application Number | 09/897,429 |
| Filing Date | July 3, 2001 |
| First Named Inventor | Robert J. Hales |
| Group Art Unit | 2123 |
| Examiner Name | Proctor, J.S. |
| Attorney Docket Number | H0630-0003-P003 |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> PTO-2038 (1p) (\$990) | <input checked="" type="checkbox"/> Replacement Drawing(s) (20 sheets, Fig. 1-17) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment/Reply (29 pp) | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Request for Extension of Time (2 pp) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Submission of Corrected Drawings (2 pp) |
| <input checked="" type="checkbox"/> Information Disclosure Statement (2 p) | <input type="checkbox"/> Request for Refund | Supplemental Information Disclosure Statement (2 pp) |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | Form PTO/SB/08 |
| <input type="checkbox"/> Response to Missing Parts | | CADDStar Version 3.81 Help Manual |
| | | CADDStar Version 5.0 Help Manual |

Remarks

Deposited with USPS Express Mail: July 27, 2005

Contingent Petition for Extension of Time

If required, the Commissioner is hereby petitioned, under 37 C.F.R. § 1.136 (a), to extend the time for filing a response to an outstanding Office Action, or any communication filed in this application by this firm, by the number of months which will avoid abandonment under 37 C.F.R. § 1.135. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-3117, under order number -H0630-0003-P003.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|-------------------------------------|--------------------------|
| Firm or Individual Name | Bergman Kuta LLP Michael Bergman | Registration No.: 42,318 |
| Signature | | |
| Date | July 27, 2005 | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Robert J. Hales

Confirmation No.: 8337

Application No.: 09/897,429

Group Art Unit: 2123

Filed: July 3, 2001

Examiner: Proctor, J.S.

For: SYSTEM AND METHOD FOR NETWORK
INFRASTRUCTURE MANAGEMENT

SUBMISSION OF REPLACEMENT DRAWINGS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith are twenty (20) sheets of replacement drawings (Figures 1-17) for inclusion in the above-captioned application. Applicants respectfully request that the enclosed drawings be substituted for the drawings submitted on July 3, 2001. Figures 5A, 5B, 8A, 8B, 8C, 9A, 9B, 12A, 12B, 15A and 15B have been amended in their respective labeling to conform to the requirements of 37 CFR 1.84(u). In addition, Trademark references in figures 2 and 3 have been indicated. No new matter has been added.

No fee is believed to be required in relation to this submission of replacement drawings. However, the Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to Deposit Account No. 50-3117 of Bergman Kuta LLP, under Order No. H0630-0003-P003. In addition, if the enclosed papers

Application No.: 10/897,429

Customer No.: 44312

Docket No.: H0630-0003-P003

or fees are considered incomplete or insufficient, the Patent Office is respectfully requested to contact the undersigned collect at (617) 868-8481 in Cambridge, Massachusetts.

Dated: 27 JUL 2005

Respectfully submitted,

By


Michael Bergman

Registration No.: 42,318

BERGMAN KUTA LLP

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Cambridge, MA 02140

617-868-8480

Attorneys for Applicant